



**TD Waterhouse Canada Inc.**  
**Tax Residency Self-Certification**  
**Individual (Includes Sole Proprietor)**

Applicable to TD DI, FP and PIA

Account # (mandatory): \_\_\_\_\_

<b>Part 1: Account Holder Information</b>			
Account Holder Name:			Date of Birth (YYYY/MM/DD):
Owner Name (for Sole Proprietor):			
<b>Permanent Residence Address</b>			
Permanent Residence Address (street, apt. or suite no., or rural route):			
City or Town:	Province or State:	Postal Code/ZIP Code:	Country:
Mailing Address (if different than above, please complete):			
City or Town:	Province or State:	Postal Code/ZIP Code:	Country:

<b>Part 2: Country or Countries of Residence for Tax Purposes (mandatory section)</b>																	
Please complete the following sections, confirming your country or countries of residence for tax purposes.																	
<p><b>A. Are you a resident of Canada for tax purposes?</b>  <input type="checkbox"/> Yes      <input type="checkbox"/> No</p> <p>If you answered "Yes", please provide your Social Insurance Number: _____</p>																	
<p><b>B. Are you a U.S. citizen, or a U.S. resident for tax purposes?</b>  <input type="checkbox"/> Yes      <input type="checkbox"/> No</p> <p>If yes, please provide your Tax Identification Number: _____</p> <p>Also, if yes, complete and return W-9 tax form (available on IRS website; <a href="http://www.irs.gov/pub/irs-pdf/fw9">www.irs.gov/pub/irs-pdf/fw9</a>) as part of this package. Failure to provide documentation may result in up to 30% withholding tax on any U.S. source income or proceeds of sale.</p> <p>If you do not have a TIN from the United States, have you applied for one?  <input type="checkbox"/> Yes      <input type="checkbox"/> No</p> <p>(If you do not have a TIN from the United States, you have 90 days to apply for one and 15 days after you receive it to give it to your financial institution.)</p>																	
<p><b>C. Are you a resident of any other country(s) for tax purposes?</b>  <input type="checkbox"/> Yes      <input type="checkbox"/> No</p> <p>If you answered "Yes" to question C above, please provide your Taxpayer Identification Number (TIN) for each country declared, if applicable*.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 35%; padding: 5px;">Country of Tax Residence:</th> <th style="width: 35%; padding: 5px;">Taxpayer Identification Number (TIN):</th> <th style="width: 30%; padding: 5px;">Reason for not providing a TIN:</th> </tr> </thead> <tbody> <tr><td style="height: 20px;"> </td><td> </td><td> </td></tr> <tr><td style="height: 20px;"> </td><td> </td><td> </td></tr> <tr><td style="height: 20px;"> </td><td> </td><td> </td></tr> <tr><td style="height: 20px;"> </td><td> </td><td> </td></tr> </tbody> </table>			Country of Tax Residence:	Taxpayer Identification Number (TIN):	Reason for not providing a TIN:												
Country of Tax Residence:	Taxpayer Identification Number (TIN):	Reason for not providing a TIN:															
<p>*If you do not have a TIN for a specific jurisdiction, give the reason using one of these choices:  <b>Reason 1:</b> I will apply or have applied for a TIN but have not yet received it  <b>Reason 2:</b> My country of tax residence does not issue TINs to its residents  <b>Reason 3:</b> Other: (explanation is required)</p> <p>Reasons that fall under "other" include not having or not eligible to receive a TIN. However, if you are eligible but you do not have one, you have 90 days to apply for one through your country of residence. You have 15 days after you receive it to give it to your financial institution.</p>																	

**Part 3: Declarations and Signature**

I certify that all representations made in respect to my tax residency status are correct and complete. I will give TD Waterhouse Canada Inc. a new form within 30 days of any change in circumstances that causes the information on this form to become incomplete or inaccurate.

Print Full Name \_\_\_\_\_

Signature \_\_\_\_\_

If you are not the Account Holder, please indicate the capacity in which you are signing the form.

\_\_\_\_\_ Date (YYYY/MM/DD)

Personal information is collected under the Income Tax Act to administer tax, benefits, and related programs. It may also be used for any purpose related to the administration or enforcement of the Act such as audit, compliance and the payment of debts owed to the Crown. It may be shared or verified with other federal, provincial/territorial government institutions, and foreign governments to the extent authorized by law. Failure to provide this information may result in additional withholding, interest payable, penalties or other actions. Under the Privacy Act, individuals have the right to access their personal information and request correction if there are errors or omissions. Refer to Info Source at [www.cra.gc.ca/gncy/tp/nfsrc/nfsrc-eng.html](http://www.cra.gc.ca/gncy/tp/nfsrc/nfsrc-eng.html), Personal Information Bank CRA PPU 005.

*For guidance regarding document completion please contact a tax professional, visit the CRA website at <http://www.cra-arc.gc.ca/tx/nrsdnts/nhncdrprtng/menu-eng.html>, the Organization for Economic Co-operation and Development's (OECD) web portal at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#d.en.347760> or visit our website at <http://www.td.com/incometaxact>.*

**Part 4: Definitions**

**US Citizen**

A U.S. citizen is considered to be a tax resident in the U.S. regardless of where you live, or whether you are also a tax resident of another country.

U.S. citizens are:

- Individuals born in one of the several states of the United States, District of Columbia, Puerto Rico, Guam, Northern Mariana Islands, or Virgin Islands;
- Individuals born in an outlying possession of the U.S. (American Samoa or Swain's Island) on or after the date the U.S. acquired the possession (US non-citizen national);
- Foreign-born children, under age 18, residing in the U.S. with their birth or adoptive parents, at least one of whom is a U.S. citizen by birth or naturalization; and
- Individuals granted citizenship status by the U.S. Citizenship and Immigration Services (USCIS) (naturalized U.S. citizens).

**Tax Residence**

Tax residence is generally a country where client resides for tax purposes but other circumstances may apply (e.g. studying/working abroad). Country(s) where client(s) files/pays income tax are likely tax residence(s).